

Form PTO-1390 OFFICE		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER GC796-2-US U.S. APPLICATION NO. (If known, see 37 CFR 1.5) [please provide] 10/549943
INTERNATIONAL APPLICATION NO. PCT/US2004/013258		INTERNATIONAL FILING DATE 28 April 2004 – 28.04.2004		PRIORITY DATE CLAIMED 29 April 2003 – 29.04.2003
TITLE OF THE INVENTION Novel Bacillus 029CEL Cellulase				
APPLICANT(S) FOR DOE/EO/US Brian E. JONES, c/o Genencor International, Inc., 925 Page Mill Road, Palo Alto, California 94304; William D. GRANT, 15 The Tithings, Kibworth Beauchamp, Leicestershire LE8 OPU, United Kingdom; Shaun HEAPHY, 426 Uppingham Road, Leicester, Leicestershire LE8 OPU, United Kingdom; Susan GRANT, 15 The Tithings, Kibworth Beauchamp, Leicestershire LE8 OPU, United Kingdom				
Applicant herewith submits to the United States Designated/Elected Office (do/eo/us) the following items and other information:				
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.				
2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.				
3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).				
4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.				
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))				
a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).				
b. <input type="checkbox"/> has been transmitted by the International Bureau.				
c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).				
6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).				
7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))				
a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).				
b. <input type="checkbox"/> have been transmitted by the International Bureau.				
c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.				
d. <input type="checkbox"/> have not been made and will not be made				
8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).				
9. <input type="checkbox"/> An oath or declaration of the inventor/s (35 U.S.C. 371(c)(4)).				
10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).				
Items 11 to 20 below concern document/s or information included:				
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.				
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included				
13. <input type="checkbox"/> A preliminary amendment.				
14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76				
15. <input type="checkbox"/> A substitute specification.				
16. <input type="checkbox"/> A change of power of attorney and/or change of address letter.				
17. <input type="checkbox"/> A computer-readable form of the sequence listing according to PCT Rule 13ter.2 and 37 CFR.1.621-1.825				
18. <input type="checkbox"/> A second copy of the published international Application under 35 USC 154(4)(4)				
19. <input type="checkbox"/> A second copy of the English language translation of the Int'l Appln. under 35 USC 154(4)(4)				
20. <input type="checkbox"/> Other items of information:				

10/15/99 43
U.S. APPLICATION NO. (if known) (37 CFR 1.5)
Please provide

INTERNATIONAL APPLICATION NO.
PCT/US2004/013258

ATTORNEY'S DOCKET NUMBER
GC796-2-US

The following fees are submitted:

JC17 Rec'd PCT/PTO

PTO USE ONLY
20 SEP 2005

21.	<input checked="" type="checkbox"/>	Basic National Fee	\$ 300	\$ 300
22.	<input type="checkbox"/>	Examination fee If International Prelim Examination Report prepared by USPTO and all claims satisfy provision of PCT Article 33(1)-(4), examination fee will be waived All other situations	\$ 200	\$ 200
23.	<input checked="" type="checkbox"/>	Search fee		
	<input checked="" type="checkbox"/>	Search fee has been paid on the International Application To the USPTO as an International Searching Authority	\$ 100	
	<input type="checkbox"/>	International Search Report prepared and provided To the Office	\$ 400	
	<input type="checkbox"/>	All other situations	\$ 500	\$ 100
TOTAL of 21. 22 and 23				\$ 600

☐ Additional fee for specification and drawings filed in paper over
100 sheets (excluding seq listing or computer program listing).
The fee is \$250 for each additional 50 sheets of paper or
Fraction thereof

Total sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to whole number)	Rate
--------------	--------------	---	------

- 100 =	/50 =	\$250	\$ 0
---------	-------	-------	------

Surcharge of \$130 for furnishing the oath or declaration later than
30 months from the earliest claimed priority date (37 CFR 1.492(4)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	33	- 20 = 13	x \$ 50.00
Independent claims	10	- 3 = 7	x \$200.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00

SUBTOTAL = \$2050

Processing fee of \$130 for furnishing the English translation later than ☐20 ☐30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE = \$2650

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must
be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per
property

\$

TOTAL FEES ENCLOSED = \$2650

Amount
to be refunded:
charged:

\$
\$

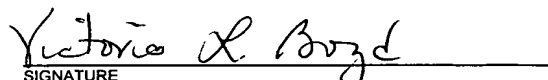
- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. **07-1048** in the amount of **\$2650.00** to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. **07-1048**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Victoria L. BOYD
GENENCOR INTERNATIONAL, INC.
925 PAGE MILL ROAD
PALO ALTO, CALIFORNIA 94304-1013

Tel. 650-846-7615
Fax 650-845-6504


SIGNATURE

Victoria L. BOYD
(printed name)

Registration Number: **43,510**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

107549943
JC17 Rec'd PCT/PTO 20 SEP 2005

In re Patent Application of

Brian E. Jones, et al.

US Serial No. [please provide]

Based on PCT/US2004/013258, filed 28.04.2004

For: **Novel Bacillus 029cel Cellulase**

**TRANSMITTAL
OF PATENT APPLICATION
UNDER 35 USC 371**

EV299896515US

I hereby certify that this paper and attachments are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated hereunder and is addressed to the **Mail Stop PCT**, Commissioner of Patents, PO Box 1450, Alexandria, D.C. 22313-1450.

20 September 2005 By: 

Anke E. Luttrell

Mail Stop PCT

Honorable Commissioner of Patents
PO Box 1450
Alexandria, D.C. 22313-1450

Dear Sir:

Attached, for entering the national phase of International Patent Application PCT/US2004/013257, are the following documents:

1. Form PTO 1390 - Transmittal Letter to the US DO/EO/US Concerning a Filing under 35 USC 371;
2. International Patent Publication WO 2004/097001

Respectfully submitted,

GENENCOR INTERNATIONAL, INC.

Date: Sept 20, 2005

By: Victoria L. Boyd
Victoria L. BOYD
Reg. No. 43,510

925 Page Mill Road
Palo Alto, California 94304-1013
650-846-7615